

FORM PTO-1449

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
0942.4650001APPLICATION NO.
09/438,365APPLICANT
Chu *et al.*FILING DATE
November 12, 1999GROUP
~~To be assigned~~ 1635

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
<i>Jk</i>	AL2	WO 98/02190	01/22/1998	WIPO	<u> </u>	<u> </u>	Yes <input checked="" type="radio"/> No
<i>Jk</i>	AM2	WO 98/40499	09/17/1998	WIPO	<u> </u>	<u> </u>	Yes <input checked="" type="radio"/> No
<i>Jk</i>	AN2	WO 98/40502	09/17/1998	WIPO	<u> </u>	<u> </u>	Yes <input checked="" type="radio"/> No
<i>Jk</i>	AO2	WO 99/29712	06/17/1999	WIPO	<u> </u>	<u> </u>	Yes <input checked="" type="radio"/> No
	AP						Yes <input checked="" type="radio"/> No

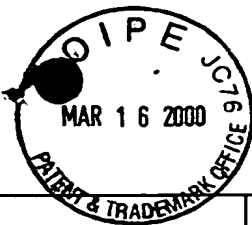
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

<i>Jk</i>	AR	<u>6</u>	McCluskie, M. <i>et al.</i> , abstract no. 91045k, "Direct gene transfer to the respiratory tract of mice with pure plasmid and lipid-formulated DNA," <i>Chem. Abst.</i> 130:151 (February 1999).
<i>Jk</i>	AS	<u>6</u>	International Search Report for International Application No. PCT/US99/26825, mailed February 22, 2000.
	AT		

EXAMINER

DATE CONSIDERED 3-23-01

EXAMINER: Initial if reference considered whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



FORM PTO-1449

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ATTY. DOCKET NO.
0942.4650001APPLICATION NO.
09/438,365APPLICANT
Chu *et al.*FILING DATE
November 12, 1999GROUP
~~To be assigned~~ 1635

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
<i>JK</i>	AC4	5,744,335	04/28/1998	Wolff <i>et al.</i>	435	172.3	09/19/1995
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
<i>JK</i>	AN1	FR 1,567,214	05/16/1969	France			Yes <u>NO</u>
<i>JK</i>	AO1	WO 97/42819	11/20/1997	WIPO			Yes <u>NO</u>
<i>JK</i>	AP1	EP 0 846 680 A1	06/10/1998	Europe			Yes <u>NO</u>

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR		
	AS		
<i>JK</i>	AT	<u>5</u>	Henkel und Cie. G.m.b.H., abstract no. 68522p, "Low-foaming detergents containing bisquaternary compounds," <i>Chem. Abst.</i> 72(14):116 (April 1970).

EXAMINER

DATE CONSIDERED 3-23-01

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

FORM PTO-1449,
SECOND SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT

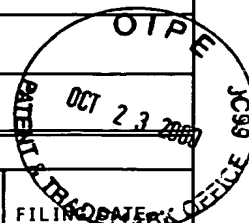
ATTY. DOCKET NO.
0942.4650001/RWE/THN

APPLICATION NO.
09/438,365

APPLICANT
CHU *et al.*

FILING DATE
November 12, 1999

GROUP
~~4643~~ 1635



U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED

OCT 25 2000

TECH CENTER 1600/2900

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL						Yes No
	AM						Yes No
	AN						Yes No
	AO						Yes No
	AP2	WO 99/02190	01/21/1999	WIPO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR		
AS		
AT		

EXAMINER

DATE CONSIDERED 3-23-01

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.